**Research Article** 

# Cancer Science & Research

## Vigil: Personalized Immunotherapy Generating Systemic Cytotoxic T Cell Response

James Herron<sup>1</sup>, Noel Smith<sup>1</sup>, Laura Stanbery<sup>2</sup>, Phylicia Aaron<sup>2</sup>, Luisa Manning<sup>2</sup>, Ernest Bognar<sup>2</sup>, Gladice Wallraven<sup>2</sup>, Staci Horvath<sup>2</sup> and John Nemunaitis<sup>2\*</sup>

<sup>1</sup>Lonza Biologics, Cambridge UK.

<sup>2</sup>Gradalis, Inc. Carrollton TX.

\*Correspondence:

John Nemunaitis, MD, Gradalis, Inc, Carrollton, Texas, United States.

Received: 25 August 2020; Accepted: 19 October 2020

**Citation:** Herron J, Smith N, Stanbery L, et al. Vigil: Personalized Immunotherapy Generating Systemic Cytotoxic T Cell Response. Cancer Sci Res. 2020; 3(1): 1-4.

## ABSTRACT

Recent research has focused on ways to activate and sustain an immune response. Vigil, an autologous tumor vaccine has shown both safety and efficacy in solid tumors. Here we show that advanced cancer patients exhibit reduced CD3+T cells compared to healthy donor controls. Following vaccination with Vigil, the number of CD3+ and CD3+CD8+T cells increases to levels comparable to healthy controls. This data is suggestive that Vigil is able to generate a systemic cytotoxic T lymphocyte response.

#### Keywords

Cytotoxic T cell, T cell, Vigil, IFN gamma.

#### Introduction

Cell mediated immunity (CMI) or lack of, plays a significant role in cancer progression. During CMI, natural killer (NK) cells and phagocytes work to control tumorigenesis. Phagocytes recognize and engulf cells that express non-self antigens, while NK cells secrete perforin and granzyme which induces apoptosis [1]. CD8+ cytotoxic T lymphocytes (CTLs) also play a key role in CMI and are predictive of improved clinical outcomes in many solid tumors [2-9]. In order to generate memory T cells, CD4+ helper cells are required for effective T cell priming [10].

Recent research has focused on ways to generate an anticancer immune response by increasing the number of tumor infiltrating lymphocytes, using immune modulatory therapy including vaccines, cellular therapy and chimeric antigen receptor therapy (CAR-T) [11]. An autologous cell immunotherapy, sipuleucel-T has proven clinically effective in prostate cancer [12]. Similarly, CAR-T cell therapy, which utilizes an engineered receptor to bind specific tumor antigens, has shown significant improved clinical outcomes in hematologic malignancies [13]. Although, CAR-T use has suggested limited results in targeting solid tumors [14,15].

Vigil is an autologous vaccine constructed from harvested tumor tissue and transfected ex vivo with a plasmid containing a bifunctional short hairpin (bi-shRNA) construct targeting furin and the GM-CSF gene. Activating an immune response is critical for effective anti-tumor control. Previous results support the safety of Vigil in various solid tumors such as Ewing's sarcoma, ovarian cancer, colorectal cancer and melanoma [16-21]. Benefit of Vigil over placebo as maintenance therapy in Stage III/IV ovarian cancer was recently demonstrated in somatic BRCA wild type expressive patients, with significant improvement in RFS and OS [21]. Vigil also demonstrated improved clinical outcomes in correlation with IFNy-ELISPOT positive response of circulating mononuclear cells to autologous (self) tumor in solid tumors [20]. Subsequent three year follow up continued to demonstrate improved outcomes and relationship to IFNy-ELISPOT response which suggests evidence of long term immune memory activation [22]. Here, we report circulating cytotoxic T cell response to Vigil in four patients with advanced solid tumors.

#### Methods

#### **Patient Population**

Patient EW 167-3006 was a 22 year old Caucasian male with metastatic recurrent Ewing's sarcoma who received three prior lines of systemic treatment before tumor resection and Vigil

construction on 12/2016. The patient was randomized and received gemcitabine/docetaxel on the control arm of protocol CL-PTL-121 part 1[Phase 2 Clinical Trial in Third-Line or Greater Ewing's Sarcoma (NCT02511132)] and remained recurrence free for 7 months from the time of procurement. After relapse, EW 167-3006 crossed over to CL-PTL-121 part 2 (Phase 2 Clinical Trial in Third-Line or Greater Ewing's Sarcoma) and received 10 cycles of combination Vigil (1x10^7cells/ml)/Irinotecan/Temozolomide. From the time of treatment start on CL-PTL-121 part 2, RFS was 15 months and based on the last known date alive (LKDA) OS was 29.5 months. Peripheral blood mononuclear cells (PBMCs) were analyzed from the time of tissue procurement and the end of Vigil treatment on CL-PTL-121 part 2.

Patient EW 167-3014 was a 26 year old Caucasian male with metastatic recurrent Ewing's sarcoma who received 12 prior lines of systemic treatment before Vigil construction on 02/2018. The patient received 12 cycles of combination Vigil (1x10^6 cells/ml)/Irinotecan/Temozolomide under CL-PTL-121 part 2. From treatment start RFS was 8.2 months and OS was 20.7 months. PBMCs of this subject were analyzed from the time of tissue procurement and treatment cycle four.

Patient 136 0024 was a 72 year old Caucasian female with BRCA1/2-wt, platinum-sensitive, Stage IIIC recurrent high grade serous cancer of the fallopian tube who received one prior systemic treatment before tissue procurement for Vigil construction on 10/2016. The patient was randomized and received placebo on the control arm of the VITAL study (NCT02346747) and remained recurrence free for 13.6 months from the time of procurement. After relapse, patient 136 0024 crossed over to a Phase 1 study of Vigil and atezolizumab (CL-PTL-126, NCT03073525) and received two cycles of Vigil (1x10<sup>7</sup> cells/ml) followed by 10 cycles of combination Vigil and atezolizumab (1200mg), and an additional 3 cycles of atezolizumab alone until disease progression. From the time of treatment start on CL-PTL-126, RFS was 11.9 months and based on the LKDA OS was 12 months. PBMCs from this subject were analyzed from the time of tissue procurement and treatment cycle six.

FANG-CLM-1001 was a 50 year old Caucasian male with stage IVA colorectal cancer who received one prior systemic chemotherapy regimen before tissue procurement for Vigil construction on 09/2012. The patient enrolled on a Phase 2 study of Vigil/ FOLFOX (CL-PTL-107, NCT01505166) and received 12 doses of Vigil (1 x10^7 cells/ml) in combination with modified FOLFOX (oxaliplatin/l-leucovorin/fluorouracil bolus/fluorouracil) for 7 cycles. From the time of treatment start RFS was 32.2 months and based on the LKDA OS was 37.5 months. PBMCs were analyzed from treatment cycle three.

All patients were monitored for safety during study enrollment.

#### **PBMC Storage and Processing**

PBMCs were harvested at the time of tissue procurement and after a minimum of three cycles of Vigil. One patient did not have a baseline sample (FANG-CLM-1001). PBMCs were drawn in green top tubes and isolated via Ficoll density gradient centrifugation, placed into cryotubes at a concentration of 1  $x10^{6}$  cells/mL and stored at -80C. Following thaw, PBMCs were counted and viability assessed by Lonza using the Luminex Muse system and Viacount reagent.

#### Flow Cytometry

Flow cytometry was performed by Lonza. Patient PBMCs were stained with monoclonal anti-human antibodies against CD3, CD4, CD8 (Miltenyi Biotech) for 10 min at 4°C in PBS/0.1% BSA buffer and dead cells were excluded using Sytox A Advanced viability dye (Invitrogen). Samples were acquired on a Guava Easycyte 8HT flow cytometer (Luminex) instrument utilizing Guava Incyte software for post-acquisition analysis.

## Results

## Phenotypic Characterization of PBMCs

The percentage of CD3+, CD3+CD4+ and CD3+CD8+ was determined from PBMC samples at time of tissue procurement and after Vigil treatment with a minimum of 3 cycles. PBMC samples from pretreatment exhibited a lower percentage of viable PBMC CD3+ cells compared to previously harvested healthy donors (Figure 1). However, post treatment, CD3+ percentage levels rose to levels close to healthy donors (Table 1). The level of CD3+CD8+ cells as a percentage of viable CD3+ cells rose significantly post treatment. No change in CD3+CD4+ cells was observed.

## Discussion

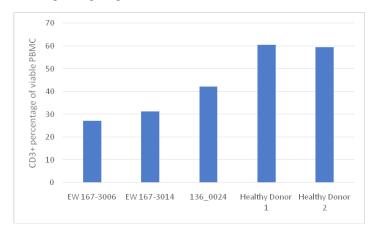
Previous studies with Vigil in advanced solid tumors found a survival benefit in IFN $\gamma$ -ELISPOT positive patients [23]. IFN $\gamma$  is a central regulator of anticancer T cell activity and drives differentiation into memory T cell function or to undergo apoptosis [24]. Further, presentation of relevant neoantigens by dendritic cells modulates the formation of CTLs. However, tumors have evolved mechanisms to escape this effect including production of immune suppressive cytokines which decrease tumor infiltrating lymphocytes (TILs) into the tumor microenvironment (TME) [25].

Here we examined the activation of CD3+ T cells following vaccination with Vigil which would effectively prime naïve CD8+ T cells to the neoantigen repertoire. CD3+ cells denote mature T cells that have differentiated into T helper cells (CD3+CD4+) or CTLs (CD3+CD8+). Consistent with previously published work, we found that the advanced cancer patients presented here had a low percentage of CD3+ cells at baseline [26]. The three patients with baseline samples had received multiple lines of previous cytotoxic therapy, which likely also contributed to reduced baseline CD3+ T cell counts.

Following vaccination with Vigil, there was a corresponding increase in CD3+ T cells, specifically, CD3+CD8+ T cells. This suggests that Vigil is able to prime, activate and promote the generation of tumor specific CTLs. Increased levels of TILs (including CTLs) within the TME is associated with improved

clinical outcomes in a variety of cancers, including melanoma, ovarian, breast, cervical and non-small cell lung cancer [2-9]. Previously, peptide based cancer vaccines have been investigated as a way to prime CTLs with limited success likely due to several factors, including poor immunogenicity of the antigen, immune suppressive environment within the TME, and intratumor heterogeneity [27,28]. Vigil administration may overcome several of these factors.

Vaccination with Vigil educates T cells to the relevant tumor neoantigens as shown by IFNγ-ELISPOT response and has demonstrated suggestive evidence of durable clinical benefit [16-20,22]. Vigil activity measured by CD3+CD8+ T cell expansion as shown here, may provide evidence to explain clinical benefit demonstrated in recently published Phase 2b trial involving Vigil in maintenance front line stage III/IV ovarian cancer [21]. These results combined with robust safety data support rationale for use of Vigil in combination with immune checkpoint inhibitors or other immune modulating therapy to increase fraction of self neoantigen targeting CD3+CD8+ T cells.



**Figure 1:** CD3+ cell counts in advanced cancer patients prior to treatment start compared to healthy donor controls. A pre-Vigil sample was not available for FANG-CLM-1001.

Subject ID	At Time of Procurement		Post Treatment	
	CD3+(%)	CD3+/CD8+ (%)	CD3+ (%)	CD3+/ CD8+ (%)
EW 167-3006	27.2	43.7	44.1	55.1
EW 167-3014	31.3	35.6	42.2	41.9
136_0024	42.2	13.5	55.7	21.5
FANG- CLM-1001*	N/A	N/A	70.9	41.3
*no baseline sample for this patient.				

Table 1: Change in T cell population pre and post treatment.

## References

- 1. Joseph C. Sun, Lewis L. Lanier. Natural killer cells remember an evolutionary bridge between innate and adaptive immunity. Eur J Immunol. 2009; 39: 2059-2064.
- Jarem Edwards, James S Wilmott, Jason Madore, et al. CD103(+) Tumor-Resident CD8(+) T Cells Are Associated with Improved Survival in Immunotherapy-Naive Melanoma

Patients and Expand Significantly During Anti-PD-1 Treatment. Clin Cancer Res. 2018; 24: 3036-3045.

- 3. Sylvia Adams, Robert J Gray, Sandra Demaria, et al. Prognostic value of tumor-infiltrating lymphocytes in triple-negative breast cancers from two phase III randomized adjuvant breast cancer trials ECOG 2197 and ECOG 1199. J Clin Oncol. 2014; 32: 2959-2966.
- 4. Colt A. Egelston, Christian Avalos, Travis Y. Tu, et al. Resident memory CD8+ T cells within cancer islands mediate survival in breast cancer patients. JCI Insight. 2019; 4: e130000.
- Peter Savas, Balaji Virassamy, Sherene Loi, et al. Single-cell profiling of breast cancer T cells reveals a tissue-resident memory subset associated with improved prognosis. Nat Med. 2018; 24: 986-993.
- 6. John R Webb, Katy Milne, Brad H Nelson. PD-1 and CD103 Are Widely Coexpressed on Prognostically Favorable Intraepithelial CD8 T Cells in Human Ovarian Cancer. Cancer Immunol Res. 2015; 3: 926-935.
- 7. John R Webb, Katy Milne, Peter Watson, et al. Tumorinfiltrating lymphocytes expressing the tissue resident memory marker CD103 are associated with increased survival in high-grade serous ovarian cancer. Clin Cancer Res. 2014; 20: 434-444.
- Fayçal Djenidi, Julien Adam, Aïcha Goubar, et al. CD8+CD103+ tumor-infiltrating lymphocytes are tumorspecific tissue-resident memory T cells and a prognostic factor for survival in lung cancer patients. J Immunol. 2015; 194: 3475-3486.
- Fenne L Komdeur, Thalina M Prins, Stephanie van de Wall, et al. CD103+ tumor-infiltrating lymphocytes are tumor-reactive intraepithelial CD8+ T cells associated with prognostic benefit and therapy response in cervical cancer. Oncoimmunology. 2017; 6: e1338230.
- 10. Michael J. Bevan. Helping the CD8+ T-cell response. Nature Reviews Immunology. 2004; 4: 595-602.
- 11. Alex D. Waldman, Jill M. Fritz, Michael J. Lenardo. A guide to cancer immunotherapy from T cell basic science to clinical practice. Nature Reviews Immunology. 2020.
- 12. Ravi A. Madan, James L. Gulley. Sipuleucel-T harbinger of a new age of therapeutics for prostate cancer. Expert Rev Vaccines. 2011; 10: 141-150.
- Stephan A. Grupp, Michael Kalos, David Barrett, et al. Chimeric antigen receptor-modified T cells for acute lymphoid leukemia. New England Journal of Medicine. 2013; 368: 1509-1518.
- Shengnan Yu, Anping Li, Qian Liu, et al. Chimeric antigen receptor T cells a novel therapy for solid tumors. Journal of Hematology & Oncology. 2017; 10: 78.
- 15. Matthew N Metzinger, Cherian Verghese, Danae M Hamouda, et al. Chimeric Antigen Receptor T-Cell Therapy Reach to Solid Tumor Experience. Oncology. 2019; 97: 59-74.
- 16. Minal Barve, Joseph Kuhn, Jeffrey Lamont, et al. Follow-up

of bi-shRNA furin/GM-CSF Engineered Autologous Tumor Cell EATC Immunotherapy Vigil® in patients with advanced melanoma. Biomed Genet Genomics. 2016.

- 17. Maurizio Ghisoli, Minal Barve, Robert Mennel, et al. Threeyear Follow up of GMCSF/bi-shRNA(furin) DNA-transfected Autologous Tumor Immunotherapy (Vigil) in Metastatic Advanced Ewing's Sarcoma. Mol Ther. 2016; 24: 1478-1483.
- Maurizio Ghisoli, Minal Barve, Reva Schneider, et al. Pilot Trial of FANG Immunotherapy in Ewing's Sarcoma. Mol Ther. 2015; 23: 1103-1109.
- 19. Jonathan Oh, Minal Barve, Carolyn M Matthews, et al. Phase II study of Vigil® DNA engineered immunotherapy as maintenance in advanced stage ovarian cancer. Gynecologic Oncology. 2016; 143: 504-510.
- 20. Neil Senzer, Minal Barve, Joseph Kuhn, et al. Phase I trial of "bi-shRNAi(furin)/GMCSF DNA/autologous tumor cell" vaccine (FANG) in advanced cancer. Mol Ther. 2012; 20: 679-686.
- 21. Rodney P. Rocconi, Elizabeth A. Grosen, Sharad A. Ghamande, et al. Randomized Double-Blind Placebo Controlled Trial of Vigil® Immunotherapy (VITAL study) in Stage III/IV Ovarian Cancer: Efficacy Assessment in BRCA1/2-wt Patients (Late Breaking Oral Presention. Society of Gynecologic Oncology Annual Meeting on Women's Cancer. 2020; Toronto, Canada.

- Neil Senzer, Minal Barve, John Nemunaitis et al. Long Term Follow Up Phase I Trial of "bi-shRNA furin/GMCSF DNA/ Autologous Tumor Cell" Immunotherapy (FANG<sup>TM</sup>) in Advanced Cancer. Journal of Vaccines & Vaccination. 2013; 4: 209.
- Kavita Tewari, Yumi Nakayama, M. Suresh. Role of Direct Effects of IFN-γ on T Cells in the Regulation of CD8 T Cell Homeostasis. The Journal of Immunology. 2007; 179: 2115-2125.
- 24. Allan B. Frey. Suppression of T cell responses in the tumor microenvironment. Vaccine. 2015; 33: 7393-7400.
- 25. Atsutaka Noguchi, Toru Kaneko, Keiko Naitoh, et al. Impaired and imbalanced cellular immunological status assessed in advanced cancer patients and restoration of the T cell immune status by adoptive T-cell immunotherapy. International Immunopharmacology. 2014; 18: 90-97.
- 26. Ryuji Takahashi, Uhi Toh, Nobutaka Iwakuma, et al. Feasibility study of personalized peptide vaccination for metastatic recurrent triple-negative breast cancer patients. Breast Cancer Res. 2014; 16: R70.
- Francesco M. Marincola, Elizabeth M. Jaffee, Daniel J. Hicklin, et al. Escape of Human Solid Tumors from T– Cell Recognition Molecular Mechanisms and Functional Significance. in Advances in Immunology. 2000; 74: 181-273.

© 2020 James Herron, et al. This article is distributed under the terms of the Creative Commons Attribution 4.0 International License