

An Evaluation on Drug-Eluting Balloons in Arterial Circulatory Issues

Rasit Dinc*

INVAMED Medical Innovation Institute, Ankara, Turkey.

***Correspondence:**

Rasit Dinc, INVAMED Medical Innovation Institute, Mutlukent Mah. 1961 Cd. No.27 06810 Cankaya/Ankara, Turkey, Phone: +90(312)2357735-36.

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Endovascular interventions have recently become the first-line treatment for arterial diseases (AD). However, there is no consensus yet on the primary endovascular method. Intensive studies carried out in recent years have been aimed not only at the treatment of existing stenosis but also at preventing the narrowing problem. Drug-eluting balloons (DEB) have recently become a new alternative treatment in this context. DEB allows the release of antiproliferative drug into the stenosis area without leaving any stent strut. It increases the therapeutic effect by preventing intimal proliferation and restenosis. Available data show that DEB has satisfactory efficacy and low risk, is superior to BMS and is noninferior to DES. It may be a suitable alternative for preventing coronary and peripheral artery diseases and in-stent stenosis.

This article aims to review current data on the use of DEB in arterial diseases.

Keywords

Arterial diseases, Astenosis, In-stent stenosis, Drug-eluting balloons.

Introduction

Arterial diseases (AD) pose a significant burden on healthcare systems [1]. If left untreated, they are associated with significant mortality and morbidity, including cardiac events and stroke [2]. Endovascular interventions have recently become the first-line treatment for AD and are performed on millions of people worldwide each year [2,3]. A variety of endovascular intervention techniques are currently available, including plain or drug-eluting balloons, bare metal, or drug-eluting stents [4-7]. However, there is no consensus on which method should be given priority [8].

Vascular stenosis requiring endovascular intervention remains a significant clinical problem. The mechanisms that lead to stenosis are complex and not yet fully understood [9,10]. In addition, natural stenosis and intervention-induced stenosis are significantly different in terms of their formation mechanisms [11]. While intimal hyperplasia, which causes stenosis by thickening the vessel

wall, usually takes several years in the native atherosclerosis, the intervention-induced stenosis usually occurs in a shorter time after endovascular intervention [12].

Intensive efforts made in recent years have been directed not only to the treatment of existing stenosis but also to prevent the problem of restenosis. As a result of these initiatives to reduce restenosis, the rate of restenosis has been significantly reduced, especially with the use of drug-eluting stents (DES) [4,7]. However, restenosis still remains a problem, and drug-eluting balloons (DEB) have recently become a new treatment strategy both in this context and for arterial structures with different characteristics [3,13,14].

The aim of this article is to review the current data regarding the use of DEB in arterial narrowing with different clinical situations.

Reality Regarding the Use of DEB in Arterial Stenosis

The main events in arterial narrowing are the formation of neointimal hyperplasia and neo-atherosclerosis due to migration and proliferation of inflammatory cells and vascular smooth muscle cells (VSMCs) [15]. Although plain balloon angioplasty

has been found effective in providing patency of vascular stenosis, the artery re-narrows at a high rate (32-55%) in the early period, primarily due to the shaping/elastic rebound mechanism [16]. Despite significant improvement, stenosis due to metal contact-related stent thrombosis or in-stent restenosis continued to occur with rates of 17-41% and up to 10% with BMS use and DES use, respectively [7,11,16].

DESs aim to prevent the formation of neointimal hyperplasia with special antiproliferative drugs (sirolimus, paclitaxel, etc.) coated on them. Although it prevents restenosis significantly, the problem is not still negligible, especially in the long-term outcomes are poor due to the left stent material [4]. DEB is a new endovascular intervention technique that combines balloon angioplasty and drug release technology, used in cases of both new stenosis and restenosis of blood vessels [14]. DEB allows the application of antiproliferative drug to the area of stenosis, without leaving an additional layer of stent strut [17]. Its use is increasing due to its good therapeutic effect in preventing intimal proliferation and restenosis [18].

DCB consists of three main components: active ingredient, excipient and balloon [13]. These components are combined to meet clinical requirements such as sustained drug delivery at therapeutic doses, long-term maintenance of drug concentration in blood vessel walls, and low or no toxicity to the body [19]. During expansion of the balloon, excipients both bind the drug to the endothelium and mucus layer and ensure stability before gradual controlled release, making long-term treatment possible [8].

Following adequate preliminary dilatation, a balloon-vessel ratio of 0.8-1.0/1.0 is recommended, DEB are deployed to expand the narrowed vessel. During the deployment, the drug is released from the balloon and allowed to reach the lesion and penetrate the vessel wall [8,20]. This drug release shows an inhibitory effect on intimal hyperplasia. The excipient (such as urea, iopromide, tributyl acetyl citrate and polyester-based polymers) facilitates the release and transfer of the drug to the target lesion [17].

Paclitaxel is used as an antiproliferative drug in some commercial DEBs (including Extender PTCA, IN.PACT Falcon, Essential), while sirolimus and its derivatives are used in others (including Virtue, Selution) [3]. Paclitaxel is more lipophilic and has faster cellular uptake. However, based on existing clinical studies, no evidence of a “class effect” of different DEBs has been shown [5,21].

Drug Eluting Balloons in the Treatment of Arterial Diseases

DEB is one of the cutting-edge technologies developed as a new clinical treatment method for both native coronary and peripheral artery diseases and the in-stent restenosis after their stenting. It has a better long-term treatment effect than DEB, BMS and DES techniques, especially in the treatment of coronary artery disease [13]. Since DEB can be used alone or in combination with BMS, it offers advantages such as uniform drug delivery to the vascular wall, absence of metallic foreign body residue, shortening the

duration of antiplatelet therapy, and reducing restenosis rates [8,20,22]. One of the key features of DEB alone angioplasty is late lumen expansion (LLE) [23].

DEB in coronary arterial diseases

In large coronary artery disease

Large coronary arteries (≥ 2.8 mm) include the right coronary artery, the left anterior descending branch, and the left circumflex branch. Lesions in these vessels may present as diffuse, obstructive, or bifurcation lesions, highlighting widespread involvement of large vessels. As a result, the investigation and treatment of large coronary arteries faces numerous vascular limitations [13]. Although limited data are available, studies show that the rate of late adverse cardiovascular events in the large vessel lesion group is lower in groups treated with DEB than DES [13,24]. Current evidence suggests that DEB may be a safe and effective alternative in the treatment of large coronary artery disease.

In Small Coronary Artery Disease

Currently, the lack of stents suitable for clinical use makes small coronary artery (< 2.8 mm) disease a major concern in clinical practice in terms of technical implications and restenosis [13]. Approximately 40-50% of coronary lesions occur in small arteries, and 30-50% of coronary interventions are directed towards these lesions [25]. Several studies have shown that patients using DEB have lower rates of restenosis, adverse cardiac events, and late lumen loss than those using DES, and that DEB treatment is no less effective than DES treatment [8,26,27].

In Coronary Artery Bifurcation Lesion

Coronary artery bifurcation lesions are a common form of coronary artery disease. The use of balloons in the treatment of bifurcation lesions attracts attention [13]. The effectiveness of DEBs has been demonstrated in the treatment of bifurcation lesions of both collateral and main coronary arteries. Additionally, when combined with directional coronary atherectomy, positive clinical outcomes can be achieved with minimal branch damage [28]. This makes it a potential stent-free percutaneous coronary intervention strategy. Some consensus groups have proposed the feasibility of percutaneous coronary intervention as a treatment method for coronary bifurcation disease [28,29].

DEB in peripheral arterial diseases

In femoropopliteal artery disease

Among the peripheral arteries, the femoral popliteal artery is the most commonly affected by atherosclerotic conditions [30]. Conventional interventional treatments of PAD are associated with a high rate of restenosis [13]. Studies have shown that DEBs exhibit advantages over traditional angioplasty and DES [31,32]. The findings of these studies suggest that DEBs offer an alternative approach to increase patency and reduce the risk of restenosis in femoropopliteal artery disease.

In Renal Artery Disease

The renal artery is frequently subjected to stenosis due to reasons such as primarily atherosclerotic stenosis and then aortitis and

myofibrillar dysplasia of the artery wall. Stent placement in the renal artery may cause mechanical damage, resulting in intima disruption and subsequent ISR [13,33]. DEBs have the potential to reduce antiplatelet drug use and alleviate the inflammatory response [34,35].

DEB in-stent restenosis

ISR is the initial indication for use of DCB. The current European guidelines recommend both DES and DEB with Class I indication for the treatment of ISR [5]. Current study data show that DES and DEB are the most superior and second most superior techniques, respectively, among endovascular methods in the treatment of ISR [36-38]. At long-term follow-up, DEB therapy appeared to be moderately less effective than repeated DES in reducing TLR in patients with coronary DES-ISR [36]. Late lumen loss (LLL) even appears to be slightly lower in the DEB arm compared to DES [36,37].

The underlying mechanism in ISR is primarily the long-term presence of the metallic stent as a foreign body within the arterial vascular system. This metallic entity can cause neointimal hyperplasia and ultimately in-stent restenosis [13]. In these cases, DEB, which does not leave a metallic residue, offers an alternative approach [39].

Discussion and Conclusion

Since the first use of DCBs for ISR in 2003, they have been shown

to significantly reduce late lumen loss compared to uncoated BA [36,42,43]. DCB has been recommended for use in the treatment of small vessel disease and ISR due to its effectiveness and safety, such as reducing the risk of in-stent restenosis and late in-stent thrombosis and even causing late lumen expansion [47]. These successes have led to the application of DEBs for new indications such as bifurcation lesions and large artery lesions and to further research in this field [8,23,25].

DCB has a number of advantages. First, no foreign body is implanted into the patient's body during DEB angioplasty, which prevents complications such as late stent thrombosis and allergies. Secondly, the interventional procedure has a shorter operating time and therefore less radiation exposure for medical personnel and patients. Again, DCB reduces the risk of delayed reendothelialization by ensuring that the drug can be delivered evenly to the inner wall of the blood vessel. Importantly, it reduces the risk of bleeding and other complications because it shortens the duration of dual antiplatelet therapy. Finally, it may be more suitable than stenting for special situations such as narrow lesions and high bleeding risk lesions [47].

A significant number of trials and studies are currently ongoing to find further answers regarding the feasibility of DEB as an alternative to DES, including REVERSE trial (ClinicalTrials.gov: NCT05846893) for large coronary artery diseases, TRANSFORM I trial (ClinicalTrials.gov: NCT 03913832) for small coronary artery

Table 1: Some study examples comparing DEB with other treatments in the treatment of in-stent restenosis.

Comparison arm	Treatment History	Follow-up		Study (Ref.)
		Outcomes	Follow-up time (months)	
PB	BMS	-LLL: 0,03±0,48 mm vs. 0,74±0,86 mm (p=0.002) -MACE: 4% vs. 31% (p=0.01)	12	Scheller et al. [40]
DES-P	DES	-LLL: 0,46±0,51 mm vs. 0,55±0,61 mm (p<0.001) -TLF: 17% vs. 16% (p=0.52)	12	PEPCAD China ISR [41]
DES-E	BMS	-MLD: 2.01±0.60 mm vs. 2.36±0.60 mm (p<0.001) -MACE: 8% vs. 6% (p=0.60)	12	RIBS V [42]

Abbreviations: DEB, drug-eluting balloon; PB, plain; DES-P, paclitaxel-coated drug-eluting stent; DES-E, everolimus-coated drug-eluting stent; BMS, bare metal stent; MACE, major adverse cardiac events; LLL, late luminal loss; MLD, minimal lumen diameter; TLF, target lesion failure; TLR, target lesion revascularization; FU, follow-up.

Table 2: Some study examples comparing DEB with other treatments in the treatment in large and small coronary artery diseases.

Comparison arm	Follow-up		Study (Ref.)
	Large coronary artery disease (≥2.8 mm)		
BMS	MACE (1% vs 14% (p<0.001))	9 months	DEBUT [43]
DES	-MACE (4% vs 5.6% (p<0.65)) -TLR (2.4% vs 3.2% (p=0.6))	12 months	Jiang et al. [44]
Small coronary artery disease (<2.8 mm)			
DES	- TVR (3.5% vs 4.5% (p=0.44)) - MACE (7.5% vs 7.3% (p=0.92))	12 months	BASKET-SMALL 2 [17]
DES	- TLF (4.4% vs 2.6% (p=0.72))	9 months	RESTORE-SVD China [45]
PB	-13.3% vs 42.5% (p<0.01) -TLR (3.4% vs 10.3% (p=0.2))	6 months	Funatsu et al. [46]

diseases, DCB-HBR trial (ClinicalTrials.gov: NCT 05221931) for high blood risk lesions, ISAR-DESIRE5 trial (ClinicalTrials.gov: NCT 05544864) for difference in neointima formation pattern.

DCB has the potential to overcome the limitations of DES safely and efficiently and to be an important alternative in arterial lesions with different anatomical locations and various clinical features. Overall, it has satisfactory efficacy and low risk with superiority to BMS and noninferiority to DES, as well as lower late lumen loss. Numerous clinical studies have been conducted demonstrating its safety and effectiveness in treating various coronary and peripheral artery diseases and preventing in-stent stenosis. However, more studies are needed. In this sense, ongoing research with different clinical designs and the development of new drugs and technologies may help us more in the optimal use of DCB in the coming years.

Conflicts of Interest

RD is the president of Invamed (Ankara, Turkey).

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